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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT   | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-------------------------|------------------------|
| 10/798,790         | 03/11/2004             | Satish Mahadeorao Totey | REL494/4-002US/58000   |

CONFIRMATION NO. 5605

21586  
VINSON & ELKINS, L.L.P.  
1001 FANNIN STREET  
2300 FIRST CITY TOWER  
HOUSTON, TX 77002-6760

FORMALITIES LETTER



\*OC000000012873983\*

COPY

Date Mailed: 06/04/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/10/2004 DTESSEM1 00000045 10798790

FILED UNDER 37 CFR 1.53(b)

|            |           |
|------------|-----------|
| 01 FC:2001 | 385.00 OP |
| 02 FC:2202 | 216.00 OP |
| 03 FC:2201 | 129.00 OP |
| 04 FC:2051 | 65.00 OP  |

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$690 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**


Total additional fee(s) required for this application is **\$1590** for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$690**
  - \$258 for 3 independent claims over 3.
  - \$432 for 24 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Satish Totey and Geeta Ravindran

Serial No.: 10/798,790

Filed: March 11, 2004

For: DERIVATION OF TERMINALLY  
DIFFERENTIATED DOPAMINERGIC  
NEURONS FROM HUMAN  
EMBRYONIC STEM CELLS

Group Art Unit: 1636

Examiner: Unknown

Atty. Dkt. No.: REL494/4-020US/58000

Confirmation No. 5605

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

August 4, 2004  
Date

  
Margaret J. Sampson

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION FILED UNDER 37 C.F.R. § 1.53(b)**

**MAIL STOP MISSING PARTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 C.F.R. § 1.53(b) mailed June 4, 2004 (the "Notice"), Assignee asserts that it is entitled to Small Entity Status by virtue of being a small business concern. Also in response to the Notice, there are enclosed herewith:

- (a) Inventors' Declaration;
- (b) An Election Under 37 C.F.R. §§ 3.71 and 3.73 and Power of Attorney;

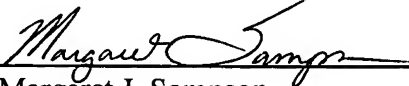
- (c) Sequence Listing (paper copy and diskette);
- (d) Statement regarding sequence listing;
- (e) Credit Card Payment Form in the amount of \$795.00 (\$385 for basic filing fee, \$65 for late declaration surcharge, \$129.00 for independent claims, and \$216.00 for claims over 20); and
- (f) A copy of Notice to File Missing Parts of Application-Filing Date Granted mailed June 4, 2004;

An Assignment on behalf of Reliance Life Sciences Pvt. Ltd. has been facsimile filed with the United States Patent and Trademark Office on May 13, 2004.

If the authorization is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Vinson & Elkins L.L.P. Deposit Account No. 22-0365/REL494/4-002US/58000.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,

  
Margaret J. Sampson  
Reg. No. 47,052  
Attorney for Applicant

Vinson & Elkins L.L.P.  
2300 First City Tower  
1001 Fannin Street  
Houston, Texas 77002-6760  
512/542-8569

Date: August 4, 2004